



FORWARD GROUP  
Trust & Corporate Services

# PERSONAL INFORMATION FORM

This form needs to be completed for any beneficial owners, shareholders, controllers, beneficiaries, settlors, protectors, or directors of any structures that are in receipt of any administration services from Forward Group.

A: YOUR DETAILS			
Title		Forenames	
Surname			
Previous names (if any) <i>maiden names or from deed poll changes</i>			
Full Principal Residential Address <i>include house name/number/plot; street name/number; town/city; postal code and country and will be considered your principal residence for tax purposes</i>			
Email			
Home Tel		Mobile Tel	
Date of Birth		Town of Birth	
Country of Birth		Nationality	
Primary Occupation <i>please be specific rather than using general terms such as "Company Director"</i>			
Any additional information			

Help on how to complete this section can be obtained from the Guidance Notes located on the Forward Group Limited website via this link:

[forwardjersey.com - Document Library](http://forwardjersey.com - Document Library)

B: ADDITIONAL DETAILS	
<p>Do you, an immediate family member or close associate, hold or have previously held, any public function including but not limited to the positions listed opposite (PEP Connections) If you have ticked any of the above, please provide full details of the position or appointment</p>	<input type="checkbox"/> Head of State or of Government <input type="checkbox"/> Political, Governmental, Judicial or Military Position <input type="checkbox"/> Executive of a State-Owned Corporation <input type="checkbox"/> Executive of an International Institution (IMF, EU, UN etc) <input type="checkbox"/> Other Government or Civil Service Appointment
<p>Source of Wealth Source of wealth describes how you, or your family have generated your total net worth.</p> <p>You should include as much detail as possible, while avoiding the use of generic terms, such as 'inheritance' or 'family business'</p>	
<p>Source of Funds Please provide details of where the specific funds being invested into the entities you are associated with have been derived.</p>	
<p>Please list any countries where you have business interests or other significant assets, not previously mentioned above. This is to help ensure that Forward Group can meet its procedural and regulatory obligations to identify any direct or indirect exposure to high risk or sanctioned jurisdictions that may otherwise not be apparent.</p>	
<p>Estimated net worth Please provide a rough indication of your net worth.</p>	
<p>Have you ever been, or are you currently, under investigation, pending charges, or involved in pending or current litigation in any of the following legal matters, in any jurisdiction:</p> <ul style="list-style-type: none"> <li>• any regulatory or governmental sanction;</li> <li>• insolvency or bankruptcy proceedings;</li> <li>• disqualification from acting as a director;</li> <li>• arrested, charged or convicted in relation to any criminal offence*</li> </ul> <p>*other than for minor traffic offences that did not result in a license suspension or a custodial or suspended custodial sentence.</p>	<p>YES: <input type="checkbox"/>      NO: <input type="checkbox"/></p> <p>If yes, please provide details including dates:</p>

## FATCA / CRS

The International Tax Compliance Regulations 2015, which implemented the Foreign Account Tax Compliance Act (FATCA) and the OECD Common Reporting Standard for Automatic Exchange of Financial Account Information (CRS) require us to collect information about each client's tax residency.

In general, you are tax resident where you are liable to taxes, based on where you live and work although different jurisdictions have different rules in relation to tax residency.

We may be obliged to share information about you with the Jersey tax authority who may in turn share this information with any or all participating tax jurisdictions. Those countries that have agreed to exchange information under FATCA and the CRS are Reportable Jurisdictions.

This is a jurisdiction with which an obligation to provide financial account information is in place. A list of Jurisdictions is shown here: <https://www.oecd.org/tax/automatic-exchange/commitment-and-monitoring-process/AEOI-commitments.pdf>

Please indicate all countries in which you are resident for tax purposes and the associated Tax Identification Numbers (TIN) in the table below. If you are not resident in any country for tax, please also state below.

If you are a US citizen, you must include United States in this table irrespective of your country of residence along with your US Tax Identification Number.

Your Tax Identification number is the unique reference assigned to you for tax purposes. You can find information on how your TIN is generated based on your country of residence here:

<https://www.oecd.org/tax/automatic-exchange/crs-implementation-and-assistance/tax-identification-numbers/>

If you have any questions about your tax residency, please contact your tax advisor. Should any information provided change in the future, please ensure you advise us of the changes promptly.

C: TAX RESIDENCY	
Country of Tax Residency	Tax Identification Number (see above)
1.	
2.	
3.	
Country of Domicile for Taxation If different from residence 1 above	

## Controlling interests

A Reportable Person is an individual (or entity) that is tax resident in a Reportable Jurisdiction under the laws of that jurisdiction.

The Account Holder will normally be the Reportable Person, however, in the case of an Account Holder that is a Passive NFE (where income is primarily generated from passive sources such as rent or dividends), the Reportable Person will also include any Controlling Persons who are tax resident in a Reportable Jurisdiction.

Where the settlor(s) of a trust is an Entity then the CRS requires Financial Institutions to also identify the Controlling Persons of the settlor(s) and when required report them as Controlling Persons of the trust in the case of a legal arrangement other than a trust, such term means persons in equivalent or similar positions.

Control over an Entity is generally exercised by the natural person(s) who ultimately has a controlling ownership interest (typically on the basis of a certain percentage (e.g., 25%)) in the Entity. Where no natural person(s) exercises control through ownership interests, the Controlling Person(s) of the Entity will be the natural person(s) who exercises control of the Entity through other means. Where no natural person(s) are identified as exercising control of the Entity through ownership interests, the Controlling Person of the Entity is deemed to be the natural person who holds the position of senior managing official.

The Controlling Person is a natural person who exercises control over an entity. Where that entity is treated as a Passive Non-Financial Entity (NFE) then a Financial Institution must determine whether such Controlling Persons are Reportable Persons. This definition corresponds to the term "beneficial owner" as described in Recommendation 10 of the Financial Action Task Force Recommendations (as adopted in February 2012).

Controlling Persons of a trust, means:

- the settlor(s),
- the trustee(s),
- the protector(s) (if any),
- the beneficiary(ies) or class(es) of beneficiaries,
- and any other natural person(s) exercising ultimate effective control over the trust (including through a chain of control or ownership)

The settlors, the trustees, the protectors (if any), and the beneficiaries or classes of beneficiaries, must always be treated as Controlling Persons of a trust, regardless of whether or not any of them exercises control over the activities of the trust.

## Controlling interests (continued)

Please list below where you have a Controlling Interest in any Entities to which Forward Group may provide services:

D: CONTROLLER INFORMATION	
Basis of controlling relationship	Name of Entity(ies)
Controlling Person of a legal person (Company / Foundation / LLP or similar)	
Control by ownership	
Control by directorship	
Control by other means	
Senior managing official	
Controlling Person of a Trust	
Settlor	
Trustee	
Protector	
Beneficiary	
Other basis	
Controlling Person of a legal arrangement (non-trust) (typically non incorporated Partnerships)	
Settlor-equivalent	
Trustee-equivalent	
Protector-equivalent	
Beneficiary equivalent	
Other-equivalent	

**E: DECLARATION AND SIGN OFF**

I hereby confirm that:

- The above particulars are true and correct to the best of my knowledge, information, and belief
- I have read and understood the forward group Terms of Business and agree to be bound by them and for the each of my reference are located here: [Terms and Conditions of Business](#)
- I hereby undertake to notify you within 30 days of any changes to the information provided in this form
- I hereby consent to you disclosing information contained herein to third parties, including relevant tax authorities.

Name	
Signature	
Date	



FORWARD GROUP  
Trust & Corporate Services

Beauport House  
L'Avenue de la Commune  
St. Peter Jersey JE3 7BY

[enquiries@fw.je](mailto:enquiries@fw.je)

[www.forwardjersey.com](http://www.forwardjersey.com)